



1772
PATENT

Case Docket No. JOHNA.062A
Date: October 10, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Hui, et al.
Appl. No. : 09/904,667
Filed : July 13, 2001
For : SURFACE TREATMENT OF
ALUMINUM ALLOYS TO
IMPROVE STERILIZATION
PROCESS COMPATIBILITY
Examiner : Unknown
Group Art Unit : 1772

I hereby certify that this correspondence and all
marked attachments are being deposited with the
United States Postal Service as first class mail in an
envelope addressed to: Assistant Commissioner for
Patents, Washington, D.C. 20231, on

October 10, 2001
(Date)

Sam K. Tahmassebi, Reg. No. 45,151

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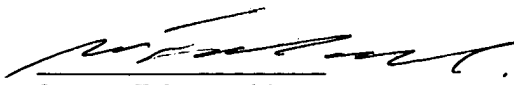
TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
ATTENTION: APPLICATION BRANCH

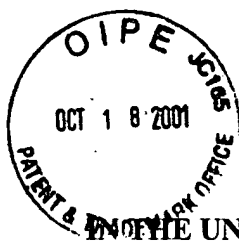
Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with thirty-two (32) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.


Sam K. Tahmassebi
Registration No. 45,151
Attorney of Record

JOHN.062A



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing thirty-two (32) references that are also enclosed. This Information Disclosure Statement is being filed within three months of the filing date of this application, and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4). However, if any fee is required the Commissioner is authorized to charge Deposit Account number 11-1410 for the correct amount.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Oct. 10, 2001

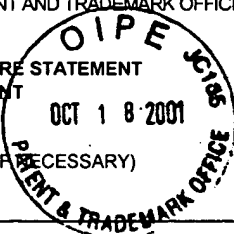
By: [Signature]

Sam K. Tahmassebi
Registration No. 45,151
Attorney of Record
620 Newport Center Drive
Sixteenth Floor
Newport Beach, CA 92660
(619) 235-8550

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
JOHNA.062AAPPLICATION NO.
09/904,667INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Hui, et al.FILING DATE
July 13, 2001GROUP
1772
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	3,937,571	02/10/76	Krulik, et al.			
	2.	3,940,270	02/24/76	Krulik, et al.			
	3.	3,961,111	06/01/76	Smith, deceased			
	4.	4,023,899	05/17/77	Hayashi, et al.			
	5.	4,180,443	12/25/79	Darrow			
	6.	4,201,821	05/06/80	Fromson, et al.			
	7.	4,230,492	10/28/80	Thomas			
	8.	4,256,547	03/17/81	Turns, et al.			
	9.	4,288,299	09/08/81	Carter			
	10.	4,310,390	01/12/82	Bradley, et al.			
	11.	4,413,049	11/01/83	Beaudet, et al.			
	12.	4,431,707	02/14/84	Burns, et al.			
	13.	4,489,002	12/18/84	Baumann, et al.			
	14.	4,525,247	06/25/85	McMonagle			
	15.	4,532,065	07/30/85	Cohen, et al.			
	16.	4,580,713	04/08/86	Sekibata, et al.			
	17.	4,633,035	12/30/86	McMonagle			
	18.	4,681,655	07/21/87	Potter			
	19.	4,731,317	03/15/88	Fromson, et al.			
	20.	4,795,777	01/03/89	Higginbotham, et al.			
	21.	4,925,738	05/15/90	Tsuya, et al.			
	22.	4,958,511	09/25/90	Marcus			
	23.	5,091,287	02/25/92	Dustin			
	24.	5,166,020	11/24/92	Fukuda, et al.			
	25.	5,658,529	08/19/97	Feldman, et al.			
	26.	5,705,225	01/06/98	Dornfest, et al.			
	27.	6,027,851	02/22/00	Coppens, et al.			

EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. JOHNA.062A	APPLICATION NO. 09/904,667
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Hui, et al.	
(USE SEVERAL SHEETS IF NECESSARY)		FILING DATE July 13, 2001	GROUP 1772

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PATENT & TRADEMARK OFFICE

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	28. "Anodic Coatings for Aluminum and Aluminum Alloys", Anodic, Inc., MIL-A8625F, (September 10, 1993).
	29. "Anodic Coatings for Aluminum and Aluminum Alloys", Anodic, Inc., MIL-A8625E, (April 25, 1988).
	30. "CGX Series, Operating and Service Instructions", CMI-Coating Measurement Instruments, pages 1-25, (1998).
	31. Wemick, S., et al., "The Surface Treatment and Finishing of Aluminum and it Alloys", Finishing Publications, Ltd., 5 th Edition, Vol. 1, pages 288-295 (1987).
	32. Wemick, S., et al., "The Surface Treatment and Finishing of Aluminum and it Alloys", Finishing Publications, Ltd., 5 th Edition, Vol. 2, pages 860-885 (1987).

EXAMINER

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